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MECHANICAL PROPERTIES AND MICROSTRUCTURE OF Al-Mg (5052) ALLOY PROCESSED BY EQUAL-CHANNEL ANGULAR PRESSING (ECAP) WITH VARIATION OF ECAP ROUTES AND HEAT TREATMENT

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Abstract. Equal-Channel Angular Pressing (ECAP) has become an effective technique of severe plastic deformation designed to produce ultrafine grain metals with improved mechanical properties, such as a good combination of strength and ductility. A report on the effect of ECAP routes on the mechanical and microstructure of commercial 5052 aluminum alloy needs also to be included. This work has been undertaken, in order to obtain the results. In this work, several deformation routes were used to process the Al – Mg (5052) alloy, namely A, Ba, Bc and C. Deformation route A involved repeatedly pushing the sample into the ECAP die without rotation, route Ba was performed by rotating the sample through 90° in alternate directions between each pass, route Bc by rotating the sample 90° in the same sense between each pass and route C by rotating the sample 180° between passes. The addition of the pass number decreases the grain size of ECAP-processed samples when compared to the as-annealed sample. It also confirmed that the microstructure of the 8-pass samples shows a finer grain size than the as-annealed sample. Furthermore, the Bc route (samples rotated in the same sense by 90° between each pass) has been proven to be the most effective deformation route, in order to obtain equiaxed ultrafine grain structure when compared to other deformation routes. This phenomenon takes place due to the continuous deformation in all cubic planes. The restoration after the 4-pass number will lead to the rapid evolution of sub-grains to high-angle grain boundaries, forming equiaxed grains. The characterization of the hardness number also shows that the addition of the ECAP pass number increases the hardness number of 5052 aluminum alloy, where samples processed with the Bc route indicate the highest hardness number at 168.4 HB. Moreover, a similar phenomenon also suggests that the tensile strength of all ECAP deformation routes has comparable values. The effect of heat treatment for samples with the Bc route also shows that 200 °C an

Keywords: ECAP, Al-Mg (5052) alloy, deformation routes, microstructure, hardness number, tensile strength, heat treatment

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Механические свойства и микроструктура сплава Al–Mg (5052), обработанного методом равноканального углового прессования (РКУП) с вариациями методов РКУП и термической обработки

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Аннотация. Равноканальное угловое прессование (РКУП) стало эффективным методом интенсивной пластической деформации для производства сверхмелкозернистых металлов с улучшенными механическими свойствами, такими как хорошее сочетание прочности и пластичности. Сведения о влиянии маршрутов РКУП на механическую прочность и микроструктуру алюминиевого сплава 5052 отсутствуют. В данной работе для обработки сплава A1-Mg (5052) использовалось несколько маршрутов деформации, а именно А, Ва, Вс, и С. Маршрут деформации А включал в себя многократное проталкивание образца в матрицу РКУП без вращения, маршрут Ba предполагал поворот образца на 90° в разных направлениях между проходами, маршрут Bc – поворот образца на 90° в одном направлении между проходами, а маршрут С – поворот образца на 180° между проходами. Добавление количества проходов уменьшает размер зерна образцов, обработанных РКУП, по сравнению с образцом после отжига. Исследование микроструктуры показало, что образцы после восьми проходов имеют более мелкий размер зерен, чем после отжига. Маршрут Вс зарекомендовал себя как наиболее эффективный для получения равноосной ультрамелкозернистой структуры по сравнению с другими маршрутами деформации. Это явление происходит из-за непрерывной деформации во всех кубических плоскостях и восстановление после четвертого прохода будет формировать быструю эволюцию субзерен к большеугловым границам зерен, образуя равноосные зерна. Добавление числа проходов РКУП увеличивает твердость алюминиевого сплава 5052. Образцы, обработанные по маршруту Вс, показывают самую высокую твердость – 168,4 НВ. Более того, подобное явление обнаруживается, когда предел прочности при растяжении всех путей деформации РКУП имеет сопоставимые значения. Влияние термической обработки образцов с маршрутом Вс также показывает, что отожженный при 200 °C образец имеет самый высокий показатель твердости и предел прочности на растяжение по сравнению с другими образцами.

Ключевые слова: РКУП, сплав Al–Mg (5052), маршрут деформации, микроструктура, число твердости, предел прочности, термообработка

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INTRODUCTION

Within the last two decades, Equal-Channel Angular Pressing (ECAP) has been intensively studied as an effective technique of severe plastic deformation (SPD) designed to produce ultrafine or even nanograin metals and alloys with considerably improved mechanical properties combining both strength and ductility [1]. Severe plastic deformation uses ECAP to produce large bulk samples free from residual stress where the samples are pressed to the die with an angled cavity without any change in the cross-sectional dimensions [2]. The samples are subjected to the shear phenomenon during the deformation process inside the ECAP die [3]. Another positive aspect of the ECAP process is the possibility of pressing repetition at the same crosssectional area of samples [4]. This work applies several deformation routes to process the Al-Mg (5052) alloy, namely A, Ba, Bc and C. The different treatments of the sample are pressed continuously either without any rotation, or by 90° in alternate directions between consecutive passes, or rotated in the same sense by 90° between each pass and rotated by 180° between passes, respectively [5]. The schematic illustration of ECAP routes can be seen in Fig. 1.

Due to their specific high-strength properties [6], aluminum and its alloys are widely used in numerous applications, such as automotive, aerospace, marine, and many others. Moreover, 5xxx-series aluminum alloys are commonly utilized in the industry due to their specific high strength, good formability, good weldability, and excellent corrosion resistance [7]. From the perspective of aluminum alloy applications, it is crucial to establish a suitable processing method which will enhance the mechanical properties and lead to the higher specific strength of materials [8]. Significant increases in mechanical properties of the alloys were achieved by the application of the ECAP process. Avcu et al. concluded that the ECAP process significantly increases the micro-

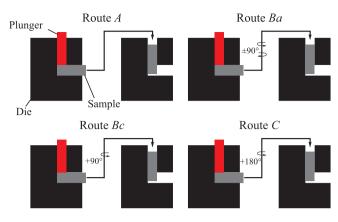


Fig. 1. Schematic illustration of ECAP routes variation in Al–Mg (5052) alloy ECAP process

Рис. 1. Схематическая иллюстрация изменения маршрутов РКУП в процессе РКУП сплава Al–Mg (5052)

hardness and wear resistance properties of 7075 aluminum alloy due to the fragmentation of precipitates and grain refinement phenomenon [9]. Nejadseyfi et al. also explained that ECAP, followed by the aging process, also enhances the mechanical performance of 6061 aluminum alloy, such as tensile strength, hardness, and corrosion resistance [10]. However, the study on the effect of variation of ECAP routes and heat treatment on the mechanical and microstructure properties of Al–Mg (5052) is still limited, although many researchers have reported the behavior of other alloys processed by ECAP.

The ECAP of Aluminium and other alloys with a variation of channel angles from 90 to 160° has been studied by numerous researchers [11]. The utilization of a large angle in the ECAP die affects the number of strains experienced by the sample in the ECAP pass [12]. The variation of deformation routes results in different microstructures and leads to the alteration of mechanical properties, such as hardness and tensile strength [13]. Studies by Ghosh et al. established that ECAP with a variation of deformation routes and numerous passes greatly influences the grain refinement. This thoroughly impacts the frequent change in shear plane and direction during the process [14]. Furthermore, Shaeri et al. also determined the enhancement quality of microstructure and texture of aluminium 7075 alloy using four passes variation and two routes of Bc and A at room temperature [15].

Yee et al. proved that the samples processed by the *Bc* route indicate better wear properties. However, they did not fully explain that the performance of this route is mechanically optimum for most of the application areas [16]. In another work, Valiev also stated that the *Bc* route is the most favorable route due to the amount of equiaxed ultrafine-grained morphology [17]. Further, Howeyze et al. also found that samp-

les processed with A route have a higher level of dislocation density, while samples processed using route C possessed higher amounts of high angle boundaries (HAGBs) [13]. However, other researchers also showed that routes A and C have a good level of performance in limited applications [18]. Therefore, it is crucial to study the effect of the ECAP routes on the microstructural and mechanical behavior of Al–Mg (5052) alloy, in order to obtain the best condition applicable in industrial applications, such as automotive, defense, and aerospace.

However, despite the enhancement of the mechanical properties in ECAP-processed samples, a decrease in elongation of the alloys was exhibited after ECAP in conventional cold working. With the increment of elongation of the ECAPed alloys, external energy is needed to reduce the dislocation density. Tański T. et al. investigated the strength and structure of AlMg, alloy, reporting the possibility of producing a UFG material with a combination of good mechanical properties and ductility. This was achieved by optimized ECAP deformation and heat treatment [19]. Meanwhile, Rominiyi A.L. et al. reported an optimum combination of properties of the 6061-aluminum alloy resulting from applying post-ECAP artificial aging [20]. This work investigated the effect of heat treatment temperature on the mechanical properties of ECAPed alloys, in the aim of achieving the best combination of strength and elongation. In addition, the pre-evaluation of ECAP deformation routes was conducted, in order to select the most effective route in obtaining the best combination of mechanical properties. The Al-Mg (5052) alloy was used in this work, since this type of aluminum was a non-heat treatable alloy that could only be strengthened by deformation. This obviated the complex mechanism of heat treatment after ECAP due to aging.

RESEARCH MATERIALS AND METHODOLOGY

The material used for the ECAP experiments was a billet of aluminum alloys found commercially in the market with the chemical composition (wt. %), as listed in Table 1 according to Optical Emission Spectroscopy analysis. This chemical composition complied with Al–Mg or 5052 alloys. The samples for the ECAP experiments were cylindrical, 70 mm in length and 12.7 mm in diameter, made by machining the initial billet. The samples were annealed under argon gas flowing at 550 °C for 12 h before extrusion for internal stress releasing and texture removal of the as-received alloys. The ECAP was carried out at room temperature for up to 8 passes with deformation routes *A*, *Ba*, *Bc* and *C*.

Deformation route A was conducted by passing the sample into an ECAP die repetitively without rotation. Route Ba was performed by rotating the sample through 90° in alternate directions between each pass,

route Bc by rotating the sample 90° in the same sense between each pass and route C by rotating the sample 180° between passes. The ECAP facilities and the samples after ECAP deformation are shown in Fig. 1. The ECAP dies used in this work had internal angular channels with a diameter of 14 mm, channel angel (ϕ) 120° and corner angel (ϕ) 7°. The ECAP samples with Bc for four passes were subjected to heat treatment at 100, 200, and 300 °C for 30 min, in order to evaluate the effect of heat treatment.

All the samples were machined along the extrusion direction, in order to prepare the specimens for microstructure observation, hardness measurement, and tensile testing. The tensile specimens had a gauge length of 12 mm as per JIS Z 220. The tensile test was conducted at room temperature using a Universal Testing Machine with 12 mm gauge length samples according to JIS Z220. The hardness test was performed using a Hardness Brinnel device at 5 varied points, in order to calculate the average and obtain a hardness number. Crystallite structure of the samples was also examined using Shimadzu X-Ray Diffraction machine, in order to identify crystalline phase in samples. Then, the 1×1 cm sample was grinded using silicon carbide paper with a 400 - 1500 grid mesh, followed by polishing and etching using Poulton's reagent for 5 - 10 s. The etched samples were washed with water and alcohol, dried, and





Fig. 2. ECAP equipment used in experiment (a) and samples after ECAP (b)

Рис. 2. Оборудование для РКУП, используемое в эксперименте (a), и образцы после прохождения процесса РКУП (b)

prepared to be examined using the Olympus U-MSSPG optical microscope for optical morphology characterization. The microstructure characterization was also held using JEOL JSM 6390 A machine, in order to examine the precipitate in the samples.

RESEARCH RESULTS AND DISCUSSION

The ECAP process deforms the alloys plastically by inducing shear strains and changing the microstructure of the alloys accordingly. The microstructure developed in the ECAP alloys depends on the geometry of the die, the number of strains, the deformation routes, and the initial condition of the alloys [21]. In the present work, the number of passes and the deformation routes were evaluated with regard to the microstructure and the mechanical properties of the Al-Mg (5052) alloys. Fig. 3 shows te optical microstructure of Al-Mg (5052) alloys in the initial condition of annealed at 550 °C for 12 h and in ECAPed conditions for 2, 4 and 8 passes with various deformation routes. The microstructure of the initial alloys (0 pass) shows the typical annealed aluminum alloys with large grains. The annealing twins exist within several grains [22].

After the application of the ECAP process, the microstructure of the alloys changed. In general, the microstructure of the ECAP samples shows finer grain sizes than the initial sample. Routes A and C exhibit microstructure with elongated grains which increase with the addition of the number of passes. Route Ba results in a microstructure with wavy grains which became more prominent with a higher number of passes. For route Bc, the microstructure revealed broken grains without elongated or wavy grains, particularly for the 2 and 4 passes. Meanwhile, a wavy structure emerges for 8 passes due to heavy straining. The grain flow exhibited by the ECAPed samples can be explained by the shearing pattern developed associated with the deformation routes applied to the samples [5].

In route A, where the samples were not rotated, continuous shearing occurred in two cubic planes, and restoration of straining did not occur. The grains were elongated by shearing without restoration in all passes [23]. For route C, where the samples were rotated 180° repeatedly restoration should occur after 2nd pass. Regarding route Bc where the samples were rotated 90° repeatedly, continuous deformation was exhibited in all cubic planes. Restoration occurs after 4th pass, ensuring the rapid evolution of sub grains to high angle grain boundary, and forming equiaxed grains. Thus, route Bc is considered the most effective route to obtaining an equiaxed and fine-grain structure [24]. As can be seen in Fig. 3, the effect of several ECAP passes also affects the grain size of Al-Mg (5052) alloy. The addition of an ECAP pass will promote grain refinement in the Al–Mg (5052) alloy.

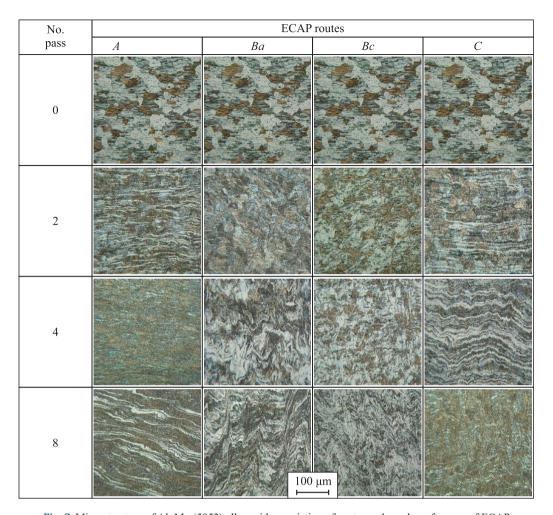


Fig. 3. Microstructure of Al-Mg (5052) alloy with a variation of routes and number of passes of ECAP

Рис. 3. Микроструктура сплава Al-Mg (5052) с изменением маршрутов РКУП и количества проходов

Fig. 4 reveals the results of Scanning Electron Microscope (SEM) Characterization of Al–Mg (5052) alloy with a *Bc* ECAP route and variation in the number of ECAP passes. The Bc orientation was chosen for SEM characterization due to the best results when compared to other routes where the samples have the equiaxial grain shape. The grain size in the Al–Mg (5052) alloy also becomes smaller with the addition of the ECAP pass number. The grain refinement phenomenon also answers the enhancement of hard-

ness numbers by adding the ECAP pass number. The microstructure in the sample is inhomogeneous. It consists of two different shapes of grain morphologies, such as elongated and equiaxial deformed grain.

These shapes relate to the shear direction during the ECAP process; the short shear movement tends to create the equiaxed grains and align with the shear direction. The boundaries between the elongated grains are assimilated, forming a more or less strain-free

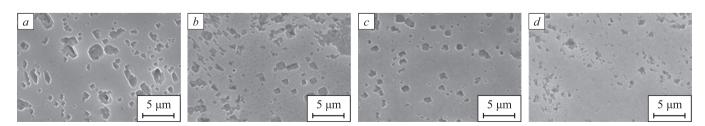


Fig. 4. SEM Characterization of Al–Mg (5052) alloy with a Bc ECAP route and variation of number of ECAP passes: a - 0; b - 2; c - 4; d - 8

Рис. 4. СЭМ-характеристика сплава Al–Mg (5052) с маршрутом Bc РКУП и изменением количества проходов: a-0;b-2;c-4;d-8

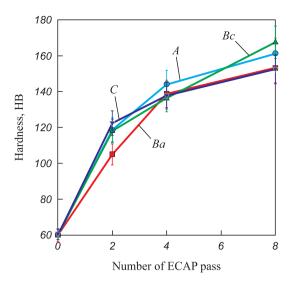


Fig. 5. Hardness properties of Al–Mg (5052) alloy with a variation of routes and number of passes of ECAP

Рис. 5. Твердость сплава Al–Mg (5052) при изменении маршрутов и количества проходов РКУП

area [22]. There are some agglomerated grains, where the sub-grains are formed within the elongated grains. After the first pass, the average distance between particles increases as the ECAP pass number rises [12].

Fig. 5 presents the Brinell hardness of the initial and the ECAPedAl–Mg (5052) samples for various passes with different deformation routes. The hardness of the alloys increases significantly after being subjected to one pass ECAP, when compared to that of the initial alloys for all deformation routes. The hardness of the alloys further increases with a reduced gradient with an increase in the number of passes [25]. At the first two passes, a significant increase in dislocation density occurs due to severe strains developed after ECAP. By increasing the number of passes, the dislocation density tends to be saturated gradually and renders the dislocation mobility causing only a slight increase in the hardness. This result is a common phenomenon and agrees with other results found in the literature [26]. Concerning deforma-

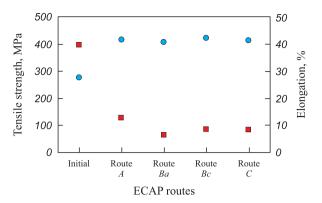
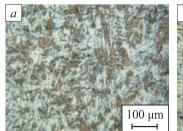


Fig. 6. ECAP route curve with tensile (○) and elongation (■)

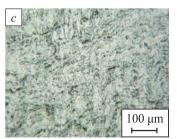
Рис. 6. Кривая маршрута РКУП с указанием прочности на растяжение (○) и относительного удлинения (□)

tion routes, Fig. 4 shows that the routes A, Ba, Bc and C applied in the ECAP experiments result in a relatively small difference in the hardness of the ECAP samples for all numbers of passes [27]. Similar phenomena are exhibited by the tensile strength of the alloys, where the strength was found to have comparable value among the deformation routes of ECAP as shown in Fig. 6.

Different routes produce values above 400 MPa, exceeding previous research only achieved below the tensile strength of this sample [21] (Fig. 6). The single crystallographic direction of deformation performed by route A results in the accumulation of dislocation in the same direction, and the annihilation of the dislocation which reduces the dislocation density. Thus, it eventually enhances the elongation [28]. The study of annealing temperature was also performed, in order to establish its effect on the microstructure of Al-Mg (5052) alloy. Fig. 7 shows that the variation of the annealing temperature (from 100 – 300 °C) affects the microstructure of Al–Mg (5052) alloy. The untreated sample indicates elongated grains, while the sample with an annealing treatment of 200 °C shows the recovery state where the grain becomes small and equiaxed due to the rearrangement of dislocation inside the annealed samples [29]. The increase of annealing temperature from 200 to 300 °C causes







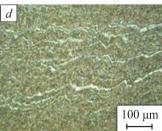


Fig. 7. Microstructure of Al–Mg (5052) alloy with variation of annealing temperature: a – without treatment; b – annealing at 100 °C; c – annealing at 200 °C; d – annealing at 300 °C

Рис. 7. Микроструктура сплава Al–Mg (5052) при изменении температуры отжига: a – без обработки; b – отжиг при 100 °C; c – отжиг при 200 °C; d – отжиг при 300 °C

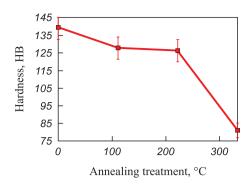


Fig. 8. Effect of heat treatment temperature after ECAP on hardness of Al–Mg (5052) alloy samples

Рис. 8. Влияние температуры термообработки после РКУП на твердость образцов сплава Al–Mg (5052)

the increment of the recrystallization process, in which the grain size becomes smaller, the grains dislocation-free, and the deformation substructure is also removed [30].

Figs. 8 and 9 reveal the effect of heat treatment after ECAP on the hardness and the tensile strengthelongation of the Al-Mg (5052) alloys, respectively. There is only a slight change which occurs in the hardness and the tensile strength of the ECAPed alloys after heat treatment at a temperature of 200 °C. The hardness and the tensile strength decrease significantly after heat treatment at a temperature of 300 °C. This implies that the heat treatment applied to the ECAPed alloys induced energy to release strain, and the saturated dislocation density gradually decreased until heat treatment at 200 °C [31]. After dislocation achieves the unsaturated condition, further strain release seems to accelerate the decrease of the dislocation density occurring at a heat treatment temperature of 300 °C. This agrees with the results of Y.H. Zhao [22], who calculated the dislocation density of ECAPed 7075 aluminum alloys during annealing. He found that there was no change in dislocation density when annealing up to 140 °C, along with a significant reduction in dislocation density from $(0.94 \pm 0.08) \cdot 10^{15} \text{ m}^{-2}$ to about $(0.05 \pm 0.04) \cdot 10^{15} \text{ m}^{-2}$ occurred when annealing at 300 °C.

The different situation is shown by the response of the elongation of the alloys on the heat treatment after the ECAP process (Fig. 9). The elongation is consistent with increasing linearity for all temperatures of heat treatment concerned in this study. Consistent with a decrease in tensile strength with heat treatment temperature, the elongation shows a significant increase above 200 °C [31]. The heat treatment should be performed at a temperature which does not significantly decrease the tensile strength with sufficient elongation [32]. The best combination of mechanical properties of ECAPed Al–Mg (5052) alloys is achieved after heat treatment at a temperature of 200 °C for 30 min, i.e., 318 MPa for tensile strength and 19.16 % for elongation.

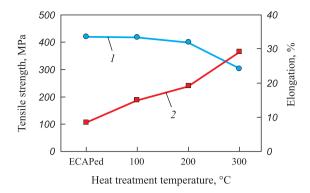


Fig. 9. Effect of heat treatment temperature after ECAP on tensile strength (1) and elongation (2) of Al–Mg (5052) alloy samples

Рис. 9. Влияние температуры термообработки после РКУП на предел прочности при растяжении (1) и относительное удлинение (2) образцов сплава Al–Mg (5052)

Fig. 10 shows the XRD pattern of the Al-Mg (5052) alloy in the condition of initial: after ECAP deformation, and after heat treatment of ECAPed samples. In general, the XRD pattern of all samples reveals the peaks of lattice planes (111), (200), (220), (311), and (222), except for the absence of (222) in the initial sample. It can be seen from the Figure that in the ECAPed sample, the intensity of the (111) plane increases significantly when compared to that in the initial sample. This is concomitant with the fact that aluminum alloys have high stacking fault energy (γ_{SFE}), wherein the fcc crystal structure, and the dislocation slip on the (111) plane is the primary deformation mechanism [33]. Hence, this close-packed plane (111) is the primary slip plane for dislocation glide during the ECAP deformation of the aluminum alloys. Another phenomenon that can be observed from Fig. 10 is the broadening of peaks for all crystal

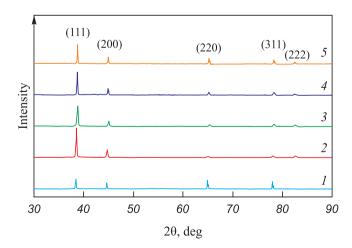


Fig. 10. XRD peaks of Al–Mg (5052) alloy in conditions of initial (1), ECAPed (2), and heat treated after ECAP at 100 (3), 200 (4) and 300 °C (5)

Рис. 10. Рентгеновские пики сплава Al–Mg (5052) в исходном состоянии (1), после РКУП (2) и термообработки после РКУП при 100 (3), 200 (4) и 300 °C (5)

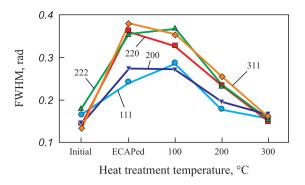


Fig. 11. FWHM of Al–Mg (5052) alloy in conditions of initial, ECAPed, heat treated after ECAP at 100, 200 and 300 °C

Рис. 11. Полная ширина на половине высоты сплава Al–Mg (5052) в исходном состоянии, после РКУП и термообработки после РКУП при 100, 200 и 300 °C

planes of samples after ECAP deformation. This peak broadening relates to increasing lattice defects, particularly dislocation density occurring during ECAP deformation [24; 25]. The peak broadening decreases in the heat-treated sample following the decreasing dislocation density caused by heat treatment.

The grain refining of the ECAPed samples can be evaluated qualitatively from the peak broadening by measuring the width of the XRD peaks of the ECAPed samples when compared to those of the initial samples. Since peak width relates inversely to crystallite size (considered as grain size) according to the Scherrer Equation [34], the larger peak width indicates the finer size of the crystallite. Fig. 11 shows the peak width (expressed by the FWHM/Full Width at Half Maximum) of Al-Mg (5052) alloys in different conditions of initial, ECAPed, and heat treated at 100, 200 and 300 °C. It can be seen from this Figure that the FWHM of the samples after ECAP increases about two-fold for all crystal planes when compared with initial samples. This indicates that grain refinement occurs in the ECAPed samples. The FWHM of the samples decreases again after being subjected to heat treatment and reaches almost the initial values after heat treatment at a temperature of 300 °C, with a significant reduction in dislocation density.

CONCLUSIONS

The effect of ECAP routes and heat treatment temperature after ECAP on the microstructure and mechanical properties of Al–Mg (5052) alloys was investigated in this work. In general, the microstructure of the ECAPed samples showed finer grain sizes than that of the initial sample. The *Bc* deformation route was considered the most effective route, in order to obtain and equiaxed ultrafine grain structure. It was exhibited that the mechanical properties of the alloys had comparable values to those which resulted from all the defor-

mation routes of ECAP. The heat treatment to which the ECAP samples were subjected resulted in decreasing the mechanical properties, reaching almost the initial values after heat treatment at 300 °C. The best combination of mechanical properties of ECAPed Al–Mg (5052) alloys was achieved after heat treatment at temperature 200 °C, i.e., 318 MPa for tensile strength and 19.16 % for elongation. Further, the peak broadening of the ECAPed samples was observed on the XRD pattern indicating grain refinement. The peak broadening decreased by heat treatment and reached almost initial values after heat treatment at a temperature of 300 °C.

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